

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: G. Stephen LeGraw  
Serial No: 09/754,492  
Confirmation No: 2903  
Filed: January 4, 2001  
For: INFORMATION TRADING SYSTEM AND METHOD

Examiner: Edward Chang  
Art Unit: 3692

---

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being electronically filed in accordance with § 1.6(a)(4), on the 16<sup>th</sup> day of December, 2009.

/Matthew H. Grady/  
Matthew G. Grady (Reg. No. 52,957)

---

Commissioner for Patents

**SUPPLEMENTAL AMENDMENT**

Sir:

As Supplement to the Request for Continued Examination and Amendment filed October 7, 2009, please amend the above-identified application as follows. Changes to the Claims are shown by strike through (for deleted matter) and underlining (for added matter). Changes to the claims assume entry of the October 7<sup>th</sup> Amendment.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 15 of this paper.